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Regd. & Admn. Office: 98A, Dr. Radhakrishnan Salai, III Floor, Auras Corporate Centre, Mylapore, Chennai - 600 004. Tel.: 044-2847 8605

20th January 2017

BSE Limited

Scrip Code - 511764 Corporate Relationship Department 1st Floor, New Trading Ring, Rotunda Building Phiroze Jeejeebhoy Towers Dalal Street, Fort, Mumbai - 400 001

Dear Sir

Statement of Investor Complaints for the quarter ended December 31, 2016 Report based on Regulation 13 of the SEBI (Listing Obligations and Disclosure Requirements)

Regulations, 2015 (LODR Regulations)

Pursuant to Regulation 13(3) of the LODR Regulations, we submit herewith the Statement of Investor Complaints for the quarter ended December 31, 2016:-

| Pénding at the beginning at the quarter | Received during the quarter | Disposed-off during the quarter | Those remaining unresolved during the quarter |
|--|-----------------------------------|---------------------------------------|---|
| NIL | NIL | NIL | NIL |

This is for your information and records.

Thanking you

Yours truly

for UPASANA FINANCE LIMITED

R Krishnan

Chief Financial Officer & Company Secretary